

## MULTIMODE DOWNSTREAM SIGNAL PROCESSING IN A BI-DIRECTIONAL COMMUNICATIONS DEVICE

### CROSS REFERENCE TO RELATED APPLICATIONS

- 5 This patent application claims the benefit of U.S. Provisional Application serial number 60/305,218, filed July 13, 2001, U.S. Provisional Application serial number 60/305,193, filed July 13, 2001, U.S. Provisional Application serial number 60/327,551, filed October 02, 2001, U.S. Provisional Application serial number 60/327,529, filed October 2, 2001, and U.S. Provisional Application serial number 60/327,550, filed
- 10 October 2, 2001, each of which are incorporated herein by reference in their entireties. This patent application is related to simultaneously filed U.S. Patent Application No. XXXXXX, filed XXXX (Attorney Docket No. PU010148) entitled MULTI-MODE BIDIRECTIONAL COMMUNICATIONS DEVICE INCLUDING A DIPLEXER HAVING A SWITCHABLE NOTCH FILTER, and to U.S. Patent Application No.
- 15 XXXXXX, filed XXXX (Attorney Docket No. PU010147) entitled MULTI-MODE BIDIRECTIONAL COMMUNICATIONS DEVICE INCLUDING A DIPLEXER HAVING SWITCHABLE LOW PASS FILTERS, both of which are incorporated herein by reference in their entireties.

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### FIELD OF INVENTION

The present invention relates to bi-directional communications devices such as cable modems; more particularly, the invention relates to use of a single modem across multiple standard systems, such as both the North American and European DOCSIS standards.

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### BACKGROUND OF INVENTION

- Bi-directional communication devices, such as cable modems, have been designed to specifically operate under a single standard, such as the North American Data Over Cable Service Interface Specifications (DOCSIS) or the European DOCSIS
- 30 standards. The European version of the North American DOCSIS standard was not available when DOCSIS was first proposed to European customers. Many European cable operators started deploying the North American DOCSIS standard. They now express the need to change to a European DOCSIS-compliant system.

There are three main differences between a European DOCSIS cable modem and a North American DOCSIS cable modem. First, a diplexer within the cable modem has a different cross over point in the European and North American systems, since the forward (downstream) and the return (upstream) data channel bandwidths on the coax cable are slightly different. This difference in diplexer crossover point is realized by different high pass filter and low pass filter cutoff frequencies between the European and North American systems. Second, the forward data channel is 8 MHz wide for European DOCSIS, while in the North American DOCSIS the forward data channel is 6 MHz wide. This requires a different surface acoustic wave (SAW) filter to maximize performance when additional channels are located next to the desired channel without any guard band. Third, the forward data channel for the European DOCSIS uses a different forward error correction (FEC) scheme than is used in the North American DOCSIS. Providing a single cable modem that could operate under both the North American and European standard systems would reduce the costs for the manufacturers, re-sellers, and renters by economy of scale.

### SUMMARY OF INVENTION

The disadvantages heretofore associated with the prior art, are overcome by the present invention of a multimode downstream signal processor in a bi-directional communications device. The downstream signal processor is comprised of a tuner, a demodulator, a first filter adapted for selective coupling between the tuner and the demodulator, and a second filter adapted for selective coupling between the tuner and the demodulator.

In an exemplary embodiment of the present invention in which the downstream processor is incorporated within a cable modem, the downstream processor is adapted to allow multimode operation, that is operation over two or more standards having contrary downstream bandwidth constraints. For example, the present invention allows cable modem operation under both the North American Data Over Cable Service Interface Specifications (DOCSIS) and the European DOCSIS standards. In this exemplary embodiment, when operating under the European DOCSIS mode, a first SAW filter provides an IF signal having an 8MHz bandwidth to the demodulator, which operates within the requirements under the ITU J.83 Annex A standard. Alternatively,

when operating under the North American DOCSIS mode, a second SAW filter provides an IF signal having a 6MHz bandwidth to the demodulator, which then operates within the requirements under the ITU J.83 Annex B standard.

## 5 BRIEF DESCRIPTION OF THE DRAWINGS

The teachings of the present invention can be readily understood by considering the following detailed description in conjunction with the accompanying drawings, in which:

FIG. 1 depicts a block diagram of a data communications system having a multi-  
10 mode bi-directional communications device according to an embodiment of the present invention;

FIG. 2 depicts a block diagram of a diplexer suitable for use in the multi-mode bi-directional communications device of FIG. 1;

FIG. 3 depicts a graphical representation of a response curve for the diplexer  
15 FIG. 2;

FIG. 4 depicts an illustrative schematic diagram of a first low-pass filter LPF1 of the diplexer of FIG. 2;

FIG. 5 depicts an illustrative schematic diagram of a second low-pass filter LPF2 of the diplexer of FIG. 2; and

FIG. 6 depicts an illustrative schematic diagram of a high-pass filter HPF of the diplexer of FIG. 2.  
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To facilitate understanding, identical reference numerals have been used, where possible, to designate identical elements that are common to the figures.

## 25 DETAILED DESCRIPTION OF THE INVENTION

While the invention will be primarily described within the context of a cable modem in a data communications system, it will be appreciated by those skilled in the art that other multi-mode/standard, bi-directional communications devices, such as a satellite terminal, a digital subscriber line (DSL) modem, and the like may benefit from  
30 the present invention. According to one embodiment of the invention, a cable modem includes a single diplexer, which is used to facilitate the coupling of, for example, a computer device to a service provider via a cable transport network. In particular, the

exemplary cable modem is utilized to provide downstream broadband data signals from the service provider to the computer device. Additionally, the exemplary cable modem is utilized to transfer upstream baseband data signals from the illustrative computer back to the service provider. More specifically, the exemplary cable modem is capable of

5 selectively operating within the different downstream bandwidth constraints under both the North American Data Over Cable Service Interface Specifications (DOCSIS) and the European DOCSIS standards, which are incorporated by reference herein in their respective entirety. The cable modem is also capable of selectively passing through upstream data signals in compliance with both the European and North American

10 DOCSIS standards.

FIG. 1 depicts a block diagram of a data communications system 100 having a multi-mode bi-directional communications device 102 according to an embodiment of the present invention. The data communications system 100 comprises a service provider 160 that provides electronically transmitted, digital data to an end user having

15 an input/output (I/O) device 104, such as a computer, hand-held device, laptop, or any other device capable of transmitting and/or receiving data. The service provider 160 is coupled to the multi-mode bi-directional communications device (e.g., cable modem) 102 via a cable transport network 150.

The service provider 160 may be any entity capable of providing low, medium

20 and/or high-speed data transmission, multiple voice channels, video channels, and the like. In particular, data is transmitted via radio frequency (RF) carrier signals by the service provider 160 in formats such as the various satellite broadcast formats (e.g., Digital Broadcast Satellite (DBS)), cable transmission systems (e.g., high definition television (HDTV)), DVB-C (i.e., European digital cable standard), and the like.

The service provider 160 provides the data over the cable transport network 150.

25 In one embodiment, the cable transport network 150 is a conventional bi-directional hybrid fiber-coax cable network, such as specified under the North American or European DOCSIS standards.

In operation, the service provider 160 modulates the downstream data signals

30 with an RF carrier signal, and provides such signals via the cable transport network 150 to the cable modem 102, where the RF signals are received, tuned, and filtered to a predetermined intermediate frequency (IF) signal. The IF signal is then demodulated

into one or more respective baseband signals, and otherwise processed into, illustratively, data packets. The data packets are further transmitted through, illustratively, cabling 105 (e.g., universal serial bus (USB), coaxial cable, and the like) to the computer device 104. Similarly, a user of the computer device 104 may send  
5 upstream data signals to the cable modem 102 via the cabling 105. The cable modem 102 receives upstream baseband data signals from the computer device 104, and then modulates and upconverts the data signals onto a RF carrier for transmission back to the service provider 160, via the cable transport network 150.

The cable modem 102 comprises a diplexer 130, upstream processing circuitry  
10 106, downstream processing circuitry 108, and a media access controller (MAC) 124. The diplexer 130 communicates data between the cable transport network 150 and the cable modem 102. The diplexer 130 comprises a high-pass filter 132 and two low-pass filters 134 and 136. The high-pass filter 132 provides processed downstream RF signals to the computer device 104. In particular, RF signals having a frequency greater than,  
15 e.g., 88MHz are passed through, while those frequencies below 88MHz are filtered.

The diplexer 130 is coupled to the upstream and downstream processing circuitry 106 and 108. The high-pass filter HPF 132 passes the downstream data signals to the downstream processing circuitry 108 and the low-pass filters LPF1 and LPF2 136 and 134 receive return signals (e.g., user requests) from the upstream processing  
20 circuitry 106. As discussed above, the LPF1 136 is illustratively switched on during operation under the European DOCSIS standard, while the LPF2 134 is illustratively switched on during operation under the North American DOCSIS standard.

The downstream processing circuitry 108 comprises the tuner 112, a demodulator 118, which is selectively coupled to the tuner 112 through a first surface  
25 acoustic wave (SAW) filter 114 or through a second SAW filter 116, and other support circuitry 115, such as voltage regulators, amplifiers, and the like. The tuner 112 may illustratively be model type DIT9210, manufactured by Thomson Consumer Electronics, Inc. When operating under the European DOCSIS mode, the first SAW filter 114 provides an IF signal having an 8MHz bandwidth to the demodulator 118,  
30 which operates within the requirements under the ITU J.83 Annex A standard. Alternately, when operating under the North American DOCSIS mode, the second SAW filter 116 provides an IF signal having a 6MHz bandwidth to the demodulator 118,

which then operates within the requirements under the ITU J.83 Annex B standard. Although, the illustrative embodiment depicts a single demodulator 118, one skilled in the art will recognize that separate modulators operating under the ITU J.83 Annex A and B standards may alternately be utilized.

5           The downstream processing circuitry 108 selectively tunes, demodulates, and otherwise “receives” at least one of a plurality of downstream data signals in response to a selection signal provided by, for example, the computer device 104. The diplexer 130 passes all downstream data signals above 88MHz to the tuner 112 via the high-pass filter HPF 132. The tuner 112 downconverts the received downstream RF signals from  
10 the HPF 132 to a predetermined IF frequency signal. At least one switch selectively passes the IF frequency signal from the tuner 112 to the demodulator 118 via either the first SAW filter 114 or the second SAW filter 116. In one embodiment, the first and second SAW filters 114 and 116 are each coupled between the tuner 112 and demodulator 118, in parallel, via electronic switching devices 120<sub>1</sub> and 120<sub>2</sub>,  
15 (collectively “switches” 120), such as PIN diodes. That is, each illustrative PIN diode functions as an electronic switch for selectively coupling and decoupling each of the SAW filters 114 and 116 between the tuner 112 and the demodulator 118.

For example, a first PIN diode (not shown), which is coupled to the first SAW filter 114, is forward biased by a controller (not shown) to allow the first PIN diode to  
20 act as a short circuit as between the tuner 112 to the first SAW filter 114. As such, the first SAW filter 114 is coupled to the tuner 112. Additionally, a second PIN diode (not shown), which is coupled between the tuner 112 and the second SAW filter 116, is reversed biased by the controller to allow the PIN diode to act as an open circuit as between the tuner 112 to the second SAW filter 116. As such, the second SAW filter  
25 116 is decoupled from the tuner 112. In this manner, only one of the two SAW filters is coupled to the tuner 112 at a time. Additionally, in a similar manner, a third and fourth PIN diode (not shown) may be utilized in conjunction with the controller to couple and decouple the first and second SAW filters 114 and 116 to the demodulator 118. One  
30 skilled in the art will recognize that other switching components (e.g., transistors, electro-mechanical switches, and the like) and circuits may be utilized to selectively couple and decouple the SAW filters 116 and 114 to the tuner 112 and demodulator 118. The downconverted IF signals are demodulated by the downstream processing circuitry

108 to provide one or more respective baseband signals, which are transferred to the computer device 104 for processing.

When operating under the North American DOCSIS standard, the exemplary second SAW filter 116 provides a 44MHz centered IF signal having a 6MHz bandwidth to the demodulator 118, where the demodulator 118 extracts the baseband signal(s) therein. Similarly, when operating under the European DOCSIS standard, the exemplary first SAW filter 114 provides a 36.125 MHz centered IF signal having an 8MHz bandwidth to the demodulator 118, where the demodulator 118 extracts the baseband signal(s) therein. In any case, the baseband signals are sent to the media access controller (MAC) 124 for subsequent transport to the computer device.

The baseband signals are illustratively formed into packets (e.g., MPEG elementary stream packets). The media access controller and other digital circuitry 124 may further process the packetized data (e.g., attach or encapsulate in appropriate transport packets) and then distribute the processed, packetized data to the computer devices 104.

The upstream processing circuitry 106 comprises a modulator 110 and other support circuits such as amplifiers, filters, voltage regulators, and the like (not shown). The modulator 110 modulates upstream signals from the computer device 104 for subsequent transmission to the service provider 160. In particular, a user sends data, data requests, or some other user request to the service provider. The user request is up converted and modulated to an upstream RF signal.

FIG. 2 depicts a block diagram of a diplexer 130 according to the present invention. A high-pass filter 132 is coupled between a first signal port 206<sub>1</sub> and a second signal port 206<sub>2</sub>. The high-pass filter 132 provides an RF frequency path to the downstream processing circuitry 108 from the cable transport network 150, as discussed above. Additionally, first and second low-pass filters LPF1 136 and LPF2 134 are coupled between the first signal port 206<sub>1</sub> and a third signal port 206<sub>3</sub>. The two low-pass filters LPF1 136 and LPF2 134 are independently selected, via switches 202 and 204, to alternately provide an RF frequency path from the upstream processing circuitry 106 to the cable transport network 150. For example, LPF1 136 of the diplexer 130 is illustratively selected when the cable modem 102 is serially connected to a computer device 104 operating under the European DOCSIS standard. Alternately, LPF2 134 of

the diplexer 130 is selected when the cable modem 102 is operating under the North American DOCSIS standard.

FIG. 3 depicts a graphical representation of a response curve 300 for the diplexer of FIG. 2, and should be viewed along with FIG. 2. The response curve 300 comprises an ordinate 302 and an abscissa 304. The ordinate 302 represents insertion loss (measured in decibels (dB)), and the abscissa 304 represents frequency (measured in megahertz (MHz)).

Referring to FIGS. 2 and 3 together, it can be seen that the high-pass filter HPF 132 passes RF signals having a frequency greater than 88MHz. Under the North American DOCSIS standard, the downstream data signals are transmitted at a frequency greater than 88MHz, while under the European DOCSIS standard the downstream data signals are transmitted at a frequency greater than 110MHz. In this case, only a single high-pass filter HPF 132 is utilized in the diplexer 130. Specifically, the HPF 132 passes RF data signals above a frequency of 88MHz. Since all downstream RF signals are above 88MHz, the single HPF 132 is suitable for passing through such downstream RF data signals for further processing in the cable modem 102 under both the North American and European DOCSIS standards. The HPF response curve 306 in FIG. 3 illustratively depicts a low-level insertion loss 302 for frequencies greater than 88MHz.

Under the North American DOCSIS standard, the upstream data signals are transmitted in a frequency range between 5Mhz and 42MHz, while under the European DOCSIS standard the upstream data signals are transmitted in a frequency range between 5MHz and 65MHz. In this case, two low-pass filters LPF1 and LPF2 136 and 134 are provided to pass through data signals up to either 65MHz or 42MHz. In particular, the LPF2 low-pass filter 134 illustratively passes through the upstream data signals having a frequency between 5Mhz and 42MHz, as required under the North American DOCSIS standard. Similarly, the LPF1 low-pass filter 136 illustratively passes through the upstream data signals having a frequency between 5MHz and 65MHz, as required under the European DOCSIS standard. The LPF1 response curve 308 in FIG. 3 illustratively depicts a low-level insertion loss 302 for frequencies less than 65MHz when operating under the European DOCSIS standard. Furthermore, the LPF2 response curve 310 in FIG. 3 illustratively depicts a low-level insertion loss 302



for frequencies less than 42MHz when operating under the North American DOCSIS standard.

Referring to FIG. 2, switches 202 and 204 are schematic representation for selectively coupling and decoupling either the first low-pass filter LPF1 136 or the second low-pass filter LPF2 134, thereby permitting the diplexer 130 to be set for operation under either DOCSIS standards. In one embodiment, switches 202 and 204 may be electro-mechanical devices (e.g., relays). Preferably, the switches 202 and 204 are digitally operable switches, such as PIN diodes, transistors, and the like, controlled by a controller, such as a microprocessor, which generates a control voltage or current to activate the switches 202 and 204. For example, when switches 202 and 204 couple the cable transport network 150 to the LPF1 low-pass filter 136, the diplexer 130 is set pass frequencies less than 65MHz, as set forth under the European DOCSIS standard. Similarly, when switches 202 and 204 couple the cable transport network 150 to the LPF2 low-pass filter 134, the diplexer 130 is set pass frequencies less than 42MHz, as set forth under the North American DOCSIS standard.

It is noted that two separate filters (e.g., the low-pass filters LPF1 136 and LPF2 134) are utilized for passing the upstream RF signal, as compared to only a single high-pass filter HPF 132 being utilized to pass downstream RF signals. It is further noted that a single low-pass filter may not be used for both the North American and European cable modems. In particular, there are stringent limits on the energy that can be transmitted upstream in the frequency band above the upstream data band. For example, the low-pass filter for the North American system must have low attenuation for frequencies between 5 and 42 MHz and high attenuation for frequencies above 54 MHz (see response curve 310). The low-pass filter for the European system must have low attenuation for frequencies between 5 and 65 MHz and high attenuation for frequencies above 88 MHz (see response curve 308). The requirements between 54 and 65 MHz are in direct conflict, therefore different responses, and hence, different low-pass filters are required under each DOCSIS standard.

FIGS. 4, 5, and 6 depict illustrative schematic representations of the components in the diplexer 130. In general, the first and second low-pass filters LPF1 136 and LPF2 134 comprise a plurality of inductors connected in series between the first and third signal ports 206, and 206<sub>2</sub>, each of the inductors being coupled to ground via a

respective capacitor forming thereby a plurality of single pole filter elements, a portion of the inductors being bypassed by respective capacitors.

In particular and referring to FIGS. 4 and 5, the first and second low-pass filters LPF1 136 and LPF2 134 each comprise inductors L1 through L4 coupled to capacitors C1 through C7. In particular, the respective inductors L1 through L4 are coupled end-to-end in series, where inductor L1 is coupled to an input 402 and L4 is coupled to an output. Furthermore, capacitor C1 is coupled from ground to the node between L1 and L2. Capacitor C2 is coupled from ground to the node between L2 and L3. Capacitor C3 is coupled from ground to the node between L3 and L4. Capacitor C4 is coupled to the output 404 and ground. Additionally, capacitor C5 is coupled in parallel with inductor L2, capacitor C6 is coupled in parallel with inductor L3, and capacitor C7 is coupled in parallel with inductor L4. Table 1 depicts the preferred embodiments of the respective values of the inductors L1-L4 and capacitors C1-C7 of the first and second low-pass filters LPF1 136 and LPF2 134, where inductor and capacitance values are illustratively measured, respectively, in nano Henry and pico farads.

**Table 1**

LPF1 (FIG. 4)				LPF2 (FIG. 5)				HPF (FIG. 6)			
L	(nH)	C	(pF)	L	(nH)	C	(pF)	L	(nH)	C	(pF)
L1	154	C1	47	L1	330	C1	62	L9	210	C15	15
L2	185	C2	33	L2	240	C2	55	L10	310	C16	150
L3	150	C3	40	L3	225	C3	62	L11	160	C17	13
L4	140	C4	15	L4	290	C4	57			C18	12
		C5	10			C5	33			C19	72
		C6	12			C6	41			C20	69
		C7	5			C7	16			C21	93

In general, the high-pass filter HPF 132 comprises a plurality of capacitors connected in series between the first and the second signal ports 206, and 206,, each of the capacitors being coupled to ground via serially coupled circuit elements forming thereby a plurality of single pole filter elements, each of the serially coupled circuit elements comprising a capacitor and inductor. In particular and referring to FIG. 6, the high-pass filter HPF 132 comprises inductors L9 through L11 coupled to capacitors C15 through C21 for passing frequencies greater than 88MHz. In particular, capacitors C15 through C18 are coupled end-to-end in series, where capacitor C15 is coupled to an

input 602 and C18 is coupled to an output 604 of the HPF filter 132. Capacitor C19 is coupled to the node between capacitors C15 and C16 and serially coupled to inductor L9, which is coupled to ground. Capacitor C20 is coupled to the node between capacitors C16 and C17 and serially coupled to inductor L10, which is coupled to  
5 ground. Capacitor C21 is coupled to the node between capacitors C17 and C18 and serially coupled to inductor L10, which is coupled to ground. Table 1 also depicts a preferred embodiment of the values of the inductors and capacitors L9-L11 and C15-C21 of the high-pass filter HPF 132.

FIGS. 4, 5, and 6 depict one of many possible embodiments to implement a  
10 multi-mode bi-directional communications device (e.g., cable modem) 102, which can be operated under multiple standards, for example, between the European and North American DOCSIS standards. The diplexer 130 utilizes a single high-pass filter HPF 132 to adjust the cutoff frequency of the diplexer's forward (e.g., downstream) channel, and switches between two low-pass filters LPF1 136 and LPF2 134 to adjust the cutoff  
15 frequency of the diplexer's return (e.g., upstream) channel. It should be apparent to those skilled in the art and informed by the present disclosure that a novel diplexer for passing RF signals for multi standard data communication systems operating, illustratively, under both the North American and European DOCSIS standards has been provided. It should also be noted that FIG. 1 depicts the upstream processing circuitry,  
20 106, downstream circuitry 108, and media access controller 124 as separate components. However, one skilled in the art will understand that these illustratively distinct components may also be fabricated, for example, as a single integrated circuit (e.g., ASIC) as well.

Although various embodiments that incorporate the teachings of the present  
25 invention have been shown and described in detail herein, those skilled in the art can readily devise many other varied embodiments that still incorporate these teachings.